

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

James T. Casey et al.

Serial No.: 09/934,167

Examiner: N/A

Filed : August 20, 2001

Group Art Unit: 2834

For : SPOKE CONSTRUCTION FOR AN AC GENERATOR'S  
TWO-PIECE EXCITER DIODE WHEEL

Docket No.: 55771/102/101

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

CERTIFICATE UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an enveloped addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231 on this 20<sup>th</sup> day of November 2001

By: Laurie E. Nemitz

Laurie E. Nemitz

Dear Sirs:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R § 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

James T. Casey et al.

By their attorney,

Dated: 11/20/01

Edward L. Schwarz

Edward L. Schwarz, Reg. No. 25,651  
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<b>FORM PTO-1449</b>  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.:	Serial No.:
	55771/102/101	09/934,167
	Applicant:	
	James T. Casey et al.	
	Filing Date	Group Art:
	August 20, 2001	2834

## U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	6,107,711	08/22/00	Borchert	310	68	08/21/98
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

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## FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AL						
AM						
AN						

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	
AP	
AQ	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

2834

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**TRANSMITTAL SHEET**Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

<p><b>CERTIFICATE UNDER 37 C.F.R. 1.8:</b> I hereby certify that this correspondence and the documents described herein are being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this <u>20<sup>th</sup></u> day of <u>November</u>, 20<u>01</u></p> <p>By <u>Laurie E. Nemitz</u> Laurie E. Nemitz</p>
--

We are transmitting herewith the attached:

[ ] Amendment

[ ] No additional fee required

[ ] The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		x9=	\$	x18=	\$
INDEPENDENT CLAIMS	-	=		x40=	\$	x80=	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+135=	\$	+260	\$
TOTAL				\$		\$	

- [     ]     A check in the amount of \$\_\_\_\_\_ is enclosed.
- [XXXX]     Applicant claims small entity status under 37 CFR § 1.27.
- [XXXX]     Other: Information Disclosure Statement, Form PTO-1449,  
Cited References and Postcard\_\_\_\_\_.
- [XXXX]     Please charge any deficiencies or credit any over payment  
in the enclosed fees to Deposit Account 14-0620.

By: Edward L. Schwarz  
Edward L. Schwarz  
Reg. No. 25,652

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